

PCT

WORLD INTELLECTUAL PROPERTY ORGANIZATION  
International Bureau

## INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

<b>(51) International Patent Classification <sup>5</sup> :</b> <b>A61K 45/08, A61N 1/32</b>	<b>A1</b>	<b>(11) International Publication Number:</b> <b>WO 91/01756</b> <b>(43) International Publication Date:</b> 21 February 1991 (21.02.91)
<b>(21) International Application Number:</b> PCT/US90/04443 <b>(22) International Filing Date:</b> 8 August 1990 (08.08.90)  <b>(30) Priority data:</b> 392,868 11 August 1989 (11.08.89) US  <b>(60) Parent Application or Grant</b> <b>(63) Related by Continuation</b> US 392,868 (CIP) Filed on 11 August 1989 (11.08.89)  <b>(71)(72) Applicant and Inventor:</b> SKOLNICK, Malcolm, H. [US/US]; 733 Brogden Road, Houston, TX 77024 (US).		<b>(72) Inventor; and</b> <b>(75) Inventor/Applicant (for US only) :</b> MALIN, David, H. [US/ US]; 1610 Wavecrest Lane, Houston, TX 77062 (US).  <b>(74) Agents:</b> WISNER, Mark, A. et al.; One Riverway, Suite 1100, Houston, TX 77056-1903 (US).  <b>(81) Designated States:</b> AT (European patent), AU, BE (Euro- pean patent), CA, CH (European patent), DE (Euro- pean patent)*, DK (European patent), ES (European pa- tent), FR (European patent), GB (European patent), IT (European patent), JP, LU (European patent), NL (Eu- ropean patent), SE (European patent), US.  <b>Published</b> <i>With international search report.</i> <i>With amended claims and statement.</i>
<b>(54) Title:</b> METHOD FOR CHEMICAL PROMOTION OF THE EFFECTS OF LOW CURRENT TRANSCRANIAL ELEC- TROSTIMULATION  <b>(57) Abstract</b>  Method of providing relief from painful or stressful stimuli, or remediating imbalances or deficiencies in the neuroactive substances that modulate neurohumoral mechanisms, which involves concomitant administration of a neuroactive chemical promoter and transcranial electrostimulation. This combination enhances the ability of the central nervous system to provide relief from, for instance, pain, addiction withdrawal, anxiety and depression.		

\* See back of page

BEST AVAILABLE COPY

### DESIGNATIONS OF "DE"

Until further notice, any designation of "DE" in any international application whose international filing date is prior to October 3, 1990, shall have effect in the territory of the Federal Republic of Germany with the exception of the territory of the former German Democratic Republic.

### FOR THE PURPOSES OF INFORMATION ONLY

Codes used to identify States party to the PCT on the front pages of pamphlets publishing international applications under the PCT.

AT	Austria	ES	Spain	MC	Monaco
AU	Australia	FI	Finland	MG	Madagascar
BB	Barbados	FR	France	ML	Mali
BE	Belgium	GA	Gabon	MR	Mauritania
BF	Burkina Faso	GB	United Kingdom	MW	Malawi
BG	Bulgaria	GR	Greece	NL	Netherlands
BJ	Benin	HU	Hungary	NO	Norway
BR	Brazil	IT	Italy	PL	Poland
CA	Canada	JP	Japan	RO	Romania
CF	Central African Republic	KP	Democratic People's Republic of Korea	SD	Sudan
CG	Congo	KR	Republic of Korea	SE	Sweden
CH	Switzerland	LJ	Liechtenstein	SN	Senegal
CM	Cameroon	LK	Sri Lanka	SU	Soviet Union
DE	Germany	LU	Luxembourg	TD	Chad
DK	Denmark			TG	Togo
				US	United States of America

-1-

METHOD FOR CHEMICAL PROMOTION OF THE EFFECTS OF LOW CURRENT,  
TRANSCRANIAL ELECTROSTIMULATION

## INTRODUCTION

The present invention relates to the concomitant administration of transcranial electrostimulation and chemical promoters to produce greater effects when acting together than either would produce acting individually. In more detail, the present invention relates to a method of medical treatment of a mammal which produces greater and longer lasting analgesic, anti-abstinence, anti-withdrawal, anti-anoxiant, anxiolytic, anti-psychotic, anti-depressant, relaxant, and anti-inflammatory effects than can be produced with drugs associated with these effects with the use of the same, or even lesser, dosages needed to achieve the effect.

Transmission of nerve impulses between neurons is an electrochemical process in which electrical impulses reaching the neuron's terminal cause various chemicals, referred to as neurotransmitters, to be emitted. Once released by the neuron, the neurotransmitter crosses the synapse (a gap of about 20 nm) to the membrane of the next neuron in the neuronal circuit. There, the neurotransmitter interacts with specific receptors and generates a new electrical impulse, which continues the signal.

Alternatively, the chemical can be released into the general stream of extracellular fluid and subsequently join the flow of cerebrospinal fluid (CSF). The released chemical may simply interact locally with receptors on neurons in the immediate neighborhood (paracrine action) or the chemical may travel further to interact with specific receptors positioned at relatively distant target sites, in adjacent brain regions or in other parts of the body (endocrine action).

The released chemicals mostly act simply as neurotransmitters, but a subcategory (which may be co-released with the neurotransmitter) can also act as

modulating agents (neuromodulators). These agents influence the extent to which their own, or adjacent, nerve terminals can either release, or respond to the action of, neurotransmitters. Released chemicals which travel to and act at other parts of the body are generally referred to as hormones.

Neuromodulation is one of the most important intrinsic properties of individual neurons. Neuromodulation is generally defined as the ability of neurons to alter their electrical properties in response to intracellular biochemical changes resulting from synaptic or hormonal stimulation. This property not only allows the nervous system to adapt its control of physiological functions to a continually changing environment, but also forms the basis for many long-lasting changes in human behavior and in the behavior of animals. Changes in behavior that can be related directly to changes in the electrical responses of specific neurons include the triggering of long-lasting, but relatively fixed and innate, behaviors such as feeding and reproduction, as well as alterations in behaviors that can be ascribed to learning.

It is these chemicals, and their effects on behaviors and nervous system functions, with which the present invention is concerned. Specifically, the present invention is concerned with the interaction of these chemicals with the effects of transcranial electrostimulation on the behaviors and the function of the nervous system. Transcranial electrostimulation, or TE, is described in detail in U.S. Patent No. 4,646,744, and that description is incorporated herein in its entirety by this specific reference to that patent. In brief, TE may be described as the application of extremely low (on the order of 10  $\mu$ A), pulsed, charge-balanced current across the head through electrodes of opposite polarity attached to low impedance sites on the head. The current levels applied

are well below the current amplitudes commonly employed in electroacupuncture, transcutaneous electrical nerve stimulation (TENS), and other electrostimulation techniques, and the waveform used is significantly different. Nevertheless, a growing body of scientific literature documents the effectiveness of TE in, for instance, inducing analgesia (Skolnick, M.H., et al., "Low current electrostimulation produces naloxone-reversible analgesia in rats," 13 Soc. Neurosci. Abstr. 1304 (1987)) and reducing the severity of opiate withdrawal syndrome (Murray, J.B., et al., "Transcranial auricular electrostimulation (TCET): Naloxone reversible attenuation of pain sensitivity and opiate abstinence syndrome," 13 Soc. Neurosci. Abstr. 1304 (1987)). These same references document the reversibility of these effects with exogenous naloxone, a potent inhibitor of the effects of endogenous opiates which exerts its effect by blocking the opiate receptors, suggesting strongly that TE effects are mediated by release of endogenous opioids. The references also provide evidence to indicate that TE is as potent as inductor of analgesia as focal (in brain electrodes) electrical stimulation (Dong, W. P., et al., "Effects of dorsal raphe, habenula and external stimulation on septal neurons in the rat", 14 Proc. Soc. Neurosci. 855 (1988)).

#### SUMMARY OF THE INVENTION

Surprisingly, however, and as will be shown by the experimental evidence set out below, it has been discovered that when various neuroactive chemical promoters are administered concomitantly with TE, a more intense and prolonged physiological result is achieved than was expected. In one aspect, therefore, the present invention is characterized broadly as a method of medical treatment of a mammal involving the enhancing of the normal efforts of the central nervous system to

ameliorate or otherwise compensate for such stress as pain, anxiety, addiction withdrawal symptoms, depression, and inflammation, comprising the concomitant administration of a neuroactive chemical promotor and subsensory, transcranial electrostimulation.

This medical treatment method is also useful in remediating imbalances or deficiencies in levels of neuroactive substances that modulate neurohumoral mechanisms in living beings. Concomitant administration of TE and a neuroactive chemical promotor provides a treatment modality which can replenish, initiate or invigorate production of and/or compensate for, such deficiencies and/or imbalances.

In another aspect, the present invention is characterized as a kit for providing medical treatment of a mammal, which kit comprises a neuroactive chemical promotor in a form suitable for administration to a mammal and means for concomitant administration of transcranial electrostimulation to the mammal. In a third aspect, the present invention contemplates the use of a neuroactive chemical promotor for the manufacture of a medicament for administration to a mammal to which transcranial electrostimulation is concomitantly administered. In another aspect, the present invention is characterized as a pharmaceutical composition for providing medical treatment of a mammal in combination with transcranial electrostimulation, comprising as an active ingredient a neuroactive chemical promotor.

Such kits, compositions, and methods are characterized by a number of advantages over previously available techniques. The ability to achieve analgesia to relieve chronic pain, to assist relaxation in combatting stress related disorders and to ameliorate drug withdrawal syndromes with a non-addictive modality for which tolerance does not increase is of obvious clinical significance. Further, the same effects can be achieved as are achieved by the therapeutic use of many

specialized drugs, but with lower dosage levels or, in some cases, without even administering the drug (e.g., by accomplishing the same effect by using a different agent), therefore potentially decreasing the cost and/or side effects of drug therapy. Another significant advantage is that the ameliorative effects are not only more pronounced but of longer duration than the effects produced by administration of the drug alone. Such results can even be achieved using subclinical doses of the neuroactive chemical promotor of the present invention.

It is, therefore, an object of the present invention to provide a medical treatment method for mammals for use in, for instance:

- (1) smoking cessation,
- (2) withdrawal syndrome from addiction of, for instance, morphine, heroin and related narcotics, as well as other addictive drugs such as alcohol and cocaine,
- (3) chronic pain resulting from, for instance, arthritis, migraine headaches, low back pain, failed surgery, terminal cancer, and other sources,
- (4) acute pain,
- (5) psychoses,
- (6) depression
- (7) anxiety,
- (8) thermal insult, and
- (9) any of a host of stress-related disorders such as insomnia, certain forms of obesity and anorexia, post-traumatic stress disorder, and clinical depression.

Another object of the present invention is to provide a method of treating mammals for stressful stimuli such as those listed in the preceding paragraph which promotes or enhances the normal mechanisms by which the central nervous system ameliorates the effects of such stimuli.

Another object of the present invention is to provide an effective method for treating mammals experiencing stressful stimuli such as those listed above with the same or reduced dosages as currently used to achieve enhanced and/or prolonged effects.

Other objects of the present invention will be made clear to those skilled in the art by the following detailed description of several preferred protocols for practicing the invention. In setting out these descriptions, it is not intended that the invention be limited to these specific embodiments.

#### BRIEF DESCRIPTION OF THE DRAWINGS

Figure 1 is a bar graph showing the decreased TE-induced analgesia resulting from administration of p-chlorophenylalanine (pCPA), which depletes serotonin levels, in rats as described in Example 3, below.

Figure 2 is a bar graph showing the restoration of TE-induced analgesia after restoring serotonin levels to pCPA-treated rats by administering exogenous serotonin as described in Example 3, below.

Figure 3 is a bar graph showing the enhancement of TE-induced analgesia in rats by concomitant administration of thiorphan as described in Example 4, below.

Figure 4 is a bar graph showing the enhancement of TE-induced analgesia in rats by concomitant administration of acetorphan as described in Example 5, below.

Figure 5 is a bar graph showing the effect of concomitant administration of proglumide and TE in morphine addicted rats upon deprivation of morphine as described in Example 13, below.

#### DETAILED DESCRIPTION OF THE PREFERRED EMBODIMENTS

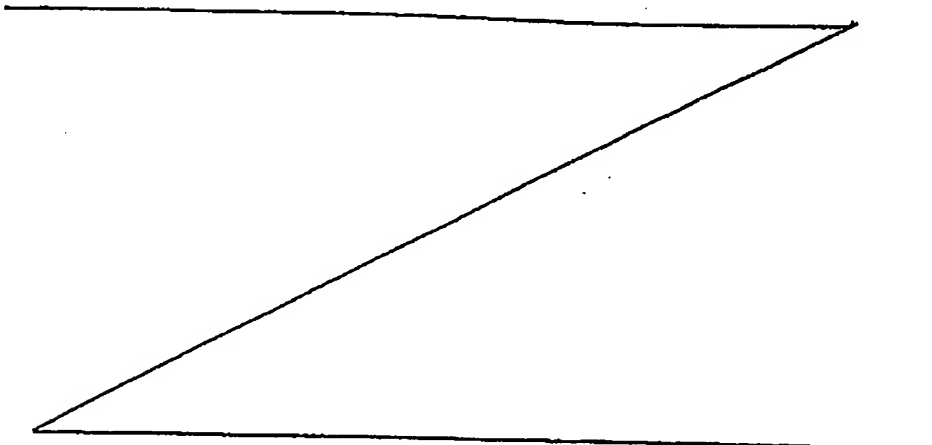
The reaction to and management of pain, stress and anxiety are modulated by a host of neurotransmitters



synthesized and pharmacologically active in the mammalian brain and spinal chord. These endogenous transmitters are synthesized in various regions of the brain from various chemical precursors and are broken down or metabolized by enzyme systems at various sites in the central nervous system (CNS). Neurotransmitters have been shown to induce or be involved with analgesic, anxiolytic, sedative, hypnotic, CNS depressant, and psychotropic effects.

A variety of chemical agents have been discovered which interact with neurotransmitter systems. These agents may, for instance, possess a chemical structure which mimics a particular transmitter or class of transmitters and which binds to the cellular receptors uniquely associated with the transmitter family. For example, exogenous heroin or morphine cross the blood-brain barrier and displace the brain's endogenous peptidyl opiates, the endorphins and enkephalins.

These chemical agents, referred to generally herein as neuroactive chemical promoters, include any substances which are capable of exerting an effect on the CNS which enhances the capacity of the CNS to relieve or ameliorate the effects of such stressful stimuli as pain, addiction withdrawal syndrome, and so on as listed above, or which are beneficial in remediating imbalances



or deficiencies in the endogeneous neuroactive substances that modulate neurohumoral mechanisms. Such substances may include, but are not limited to,

endorphins such as  $\beta$ -liptropin, adrenocorticotropin, alpha-melanocyte-stimulating hormone, met-enkephalin, leu-enkephalin, peptides E and F, metorphamide, amidorphin, dynorphin, dynorphin B, and alpha-neo-endorphin,

precursors of various endorphins such as pro-opiomelanocortin, pro-enkephalin A and pro-enkephalin B (pro-dynorphin),

enzymes active in the metabolic pathways involved in production or degradation of the above-listed endorphins and enkephalins such as endorphinase, enkephalinase, and carboxypeptidases,

inhibitors of the enzymes active in the metabolic pathways involved in production or degradation of endorphins such as acetorphan, thiorphan and kelatorphin, a D-form amino acid such as D-alanine, D-arginine, D-asparagine, D-aspartic acid, D-cysteine, D-glutamine, D-glutamic acid, D-glycine, D-histidine, D-isoleucine, D-leucine, D-lysine, D-methionine, D-phenylalanine, D-proline, D-serine, D-threonine, D-tryptophan, D-tyrosine, and D-valine, or a di- or tri-peptide comprised of any one or more of these D-form amino acids, amino acid derivatives such as 3-aminotyrosine, a thiol benzyl amino acid such as (2-[mercapto-3-phenyl-propanol]-L-leucine or any N-[(R,S)-2-carbethoxy-3-phenyl-propanol]-L-leucine, a carboxyalkyl methylester, hydrocinnamic acid or other propionic acid derivative such as ibuprofen, naproxen, and piroxicam, a barbiturate such as secobarbital, amobarbital, and pentobarbital, pyrophosphate, O-phenanthro-

line, phosphamidon, Z-leucine-NHOH, Z-glycine-NHOH or an antibiotic such as bacitracin, bestatin, or puromycin,

indoleamines such as serotonin, tryptophan, tryptamine, histamine, melatonin, indoleacetic acid, 5-hydroxytryptophan, (N')-methyl and (N')-acetylserotonin, N,N-dimethyl tryptamine (DMT), bufotenine, psilocine, psilocybin, and other derivatives of tryptamine,

enzymes active in the metabolic pathways involved in production and degradation of indoleamines such as 5-hydroxytryptophan decarboxylase, tryptophan 5-monoxygenase, monoamine oxidase, and tryptophan hydroxylase,

inhibitors of the enzymes active in the metabolic pathways involved in production and degradation of indoleamines such as p-chlorophenylalanine,

5-hydroxytryptophan antagonists such as the ergot alkaloids such as lysergic acid diethylamide (LSD) and methysergide (generally in the form of methysergide maleate), H<sub>1</sub> blockers of the ethylenediamine type, various indole compounds, spiroperidol, pirenperone, cyproheptadine, phenothiazines such as chlorpromazine,  $\beta$ -haloalkylamines such as phenoxybenzamine, siperone, metergoline, metitepine, mianserin, pizotyline (pizotifen), cinanserin, and ketanserin, and substances such as clomipramine and fluoxetine which inhibit uptake,

catecholamines such as noradrenalin, adrenalin, dopamine, 3,4-dihydroxyphenylalanine (dopa), normetanephine and metanephine,

enzymes active in the metabolic pathways involved in production or degradation of catecholamines such as monoamine oxidase, catechol-O-methyl-

transferase, dopamine  $\beta$ -hydroxylase, phenylethanolamine-N-methyltransferase, and tyrosine-3-monooxygenase,

inhibitors of the enzymes active in the metabolic pathways involved in production and degradation of catecholamines such as pargyline, nialamide, and tetrahydrobiopterin,

catecholamine agonists and antagonists such as the phenothiazones, thioxanthenes, and butyrophenones, isoproterenol, prazosin, rauwolscine, yohimbine, metoprolol, proctolol, butoxamine, cocaine, imipramine and other tricyclic antidepressants, guanethidine, bretylium, sympathomimetic drugs such as tyramine and ephedrine, and agents which inhibit catecholamine storage such as reserpine, tetrabenazine and  $\alpha$ -methyl-m-tyrosine

amino acids present in the central nervous system such as gamma-aminobutyrate (GABA), glycine, glutamate and aspartate and those amino acids capable of inducing central nervous system response such as  $\beta$ -alanine and taurine,

enzymes active in the metabolic pathways involved in production and degradation of these amino acids such as glutamate decarboxylase,

amino acid antagonists and/or binding competitors such as bicuculline, picrotoxin, apiamine, strychnine, glutamate diethylester, alpha-amino adipate, 2-amino-5-phosphonovaleate and lactonized kainate, agents that mimic the action of these amino acids such as muscimol or inhibit the re-uptake of the amino acid such as 2,4-diaminobutyrate, nipecotic acid, guvacine, and 2-hydroxy- $\gamma$ -aminobutyrate, agents which alter the rate of synthesis or degradation of the amino acid such as

aminooxyacetic acid or which potentiate the response to the amino acid such as the benzodiazepines diazepam, clordiazepoxide, clorazepate, and flurazepam,

a variety of peptides either found in the central nervous system or which are capable of exerting various effects on the CNS including carnosine, homocarnosine, anserine, pyroglutamylhistidylprolinamide, adrenocorticotropin hormone (ACTH), cholecystokinin, somatostatin, substance P, scotophobin, gastrin, vasopressin, oxytocin, vasoactive intestinal peptide (VIP), glucagon, thyrotropin releasing hormone, and secretin, enzymes active in the metabolic pathways involved in production and degradation of the above-listed peptides, such as carnosine synthetase, inhibitors of the enzymes active in the metabolic pathways involved in production and degradation of the above-listed peptides, and antagonists of the above-listed peptides, e.g., cholecystokinin, such as proglumide and lorglumide, cholinergic agents such as acetylcholine, enzymes active in the metabolic pathways involved in production and degradation of cholinergic agents such as acetylcholinesterase, choline acetyltransferase or butyrylcholinesterase, antagonists of the enzymes active in the metabolic pathways involved in production and degradation of cholinergic agents and substances which block the uptake of such agents such as methacholine, nicotine, physostigmine, or diisopropyl phosphorofluoridate.

In addition to the substances listed above, the phrase "neuroactive chemical promoter" is intended to include a large number of substances which are not necessarily

easily classified into one of the above groupings but which nonetheless are capable of exerting an effect on the central nervous system which enhances the capacity of CNS to relieve or ameliorate the effects of, for instance, the above-listed stressful stimuli. Such substances can best be described by citation to a reference characterized the substance in detail as follows:

pyrido[2,3-e]-as-triazine derivatives as described in U.S. Patent No. 4,324,786, Messmer,

heteramino benzofurans as described in U.S. Patent No. 4,451,462, Wenk,

isochromans as described in U.S. Patent No. 4,487,774, McCall,

4,5  $\alpha$  epoxymorphinan-6-spiro-2'-(4'-carboxy,1',3'-thiazolidine) derivatives as described in U.S. Patent No. 4,496,570, Bodor,

pyrazolo/4,3-C/pyridines as described in U.S. Patent No. 4,500,525, Winters,

imidazo[1,2-A]pyridine derivatives as described in U.S. Patent No. 4,501,745, Kaplan, et al.,

2-benzoxepins as described in U.S. Patent No. 4,556,656, McCall,

pyrazolo[1,5-a]pyrimidines as described in U.S. Patent No. 4,576,943, Tomcufcik, et al.,

bridged pyridines as described in U.S. Patent No. 4,587,253, Halczenko, et al.,

condensed pyrrolinone derivatives as described in U.S. Patent No. 4,590,189, Hiraga, et al.,

6-fluoro-3-[3-(1-heterocyclo)-propyl]-1,2-benzisoxazoles as described in U.S. Patent No. 4,591,586, Davis, et al.,

8-[3-(4-amino carbonyl piperazino and piperidino propyl)xanthenes as described in U.S. Patent No. 4,599,338, Regnier, et al.,

substituted and bridged pyridines as described in U.S. Patent No. 4,599,341, Halczenko, et al.,

2-(arylalkyloxymethyl) morpholines as described in U.S. Patent No. 4,605,654, Cousse, et al.,

benzofuran- and benzopyran-carboxamide derivatives as described in U.S. Patent No. 4,617,714, Tahara, et al.,

heterocyclic derivatives bearing an amino radical as described in U.S. Patent No. 4,647,557, Moinet, et al.,

3-amino-2, 3-dihydro-1-benzoxepines as described in U.S. Patent No. 4,672,062, Ohlendorf, et al., fused imidazoheterocyclic compounds as described in U.S. Patent No. 4,772,600, Tomczuk, et al.,

3, 6-disubstituted trizolo [3,4-A] phthalazine derivatives as described in U.S. Patent No. 4,783,461, Occelli, et al.,

1, 3-dithiolano- 1, 4-dithiino- and 1, 4-dithiepine [2,3-C] pyrrole derivatives as described in U.S. Patent No. 4,788,191, Hiraga et al., and

1,2,3,3A,8,8A-hexahydro-3A, 8 (and) 1,3A,8-di (and tri) methylpyrrolo (2,3-B) indoles as described in U.S. Patent No. 4,791,107, Hamer, et al.

In spite of the length of the above list of patents, it is not intended that the present invention be limited to the substances described therein. There are many more substances included within the scope of the phrase neuroactive chemical promoters; however, the invention need only be exemplified.

As noted above, an appropriate apparatus for administering transcranial electrostimulation (TE) is described in U.S. Patent No. 4,646,744, incorporated herein by reference. However, the phrase "means for administration of transcranial electrostimulation" is used throughout this specification because it is not intended that the apparatus be

limited only to that which is set out in that patent. Actual experimental protocols are set out below, but in general, TE can be described as the application of a continuous series of sub-sensory electrical pulses from one side of the patient's head to the other through electrodes of opposite polarity affixed to the patient's head. Extensive experimentation has shown that those sites on the head having the relatively lowest impedance are the best sites for placement of the electrodes in that they are more effective in inducing a response. Further, the impedance must be low enough in an absolute sense to make it possible to achieve the desired current level (see below) at a voltage which is below the threshold of detection by the patient. In both humans and laboratory animals, it is particularly preferred that the electrodes be affixed to the external ears, or pinnae; however, the mastoid process has also been used to advantage on human patients. On the ear, as is the case for other locations on the head, a change in position of as little as two millimeters can make significant differences in impedance; preferred sites in humans are the ear lobe, inner ear and upper ear, on rats, the base of the ear and the apex of the antihelix are preferred.

Experimentation has also shown that stimulus sessions of about 30 minutes in small animals such as rats and 45 minutes in humans produce effects which persist for three or more hours. The optimum frequency is approximately 10 Hz, and the optimum current is in the range of about 5 to about 25  $\mu$ A, and in humans, from about 10  $\mu$ A to about 40  $\mu$ A. Further, the results are generally improved when biphasic waveforms are used to deliver a charge-balanced current rather than monophasic, non-charge balanced waveforms. The phrase "biphasic, charge-balanced waveform" refers to a series of generally rectangular pulses having, for instance, approximately a 10  $\mu$ A, 2.0 msec positive phase separated by 98.0 msec negative phases having an amplitude of



approximately 0.2  $\mu$ A. It is not intended, however, that the method of the present invention be practiced only using those parameters. Satisfactory results can be obtained by varying these parameters as follows:

pulse width (duration)	about 0.1 - about 8.0 msec
frequency	about 5 - about 50 Hz
current	about 5 - about 40 $\mu$ A
duration	about 10 - about 60 min.

Further, monophasic, non-charge balanced waveforms, although not as efficacious as biphasic charge balanced waveforms, may also be used to advantage by varying the parameters within these same ranges.

The method of the present invention may be better understood by reference to the following examples of several presently preferred embodiments thereof.

EXAMPLE 1: Effect of Amplitude, Frequency, Duration and Repeated Stimulation

Three hundred and thirty four male Sprague-Dawley rats weighing between 180 and 210 grams were used. The animals were housed in groups of four with ad lib food and water and a 12 hour alternating light/dark cycle. All animals were naive in the sense that they had no previous experience with TE; however, a day prior to each experiment, the subjects were placed in restraints for one hour to acclimate them to the experimental conditions.

Animals were anesthetized by injection of 400 mg/kg chloral hydrate i.p. Gold plated stainless steel electrodes (cosmeticians' ear piercing studs) were inserted bilaterally into the pinnae at the apex of the antihelix. This site was chosen because it resulted in a relatively lower ear to ear impedance of  $8.0 \pm 0.4$  K ohms ( $n = 26$ ) as measured with a Grass impedance meter.

A computer controlled stimulator was used to produce a continuous series of biphasic, charge balanced, rectangular pulses which, unless otherwise specified, had a repetition rate of 10 Hz, first phase

amplitude of 10  $\mu$ A, and first phase duration of 0.1 msec. The second phase duration was approximately equal to the interval between consecutive first phases, and the amplitude such that the net charge delivered in any cycle was zero. An essentially constant current was maintained by the stimulator during each phase, irrespective of variations in the rat impedance.

Fifteen minutes prior to stimulation, the rats were placed in adjustable cylindrical plastic restrainers. Leads with a 200 K ohm resistor in series were connected to the electrodes, with the positive lead (that delivering a positive first phase) attached to the animals' right ears. Each experiment included a "sham control group" consisting of rats which were implanted, restrained and connected as above, but which did not receive any electrical stimulation.

All observations were carried out by operators who were blind to the stimulus parameters and to the identity of sham treated animals. Care was taken to exclude systematic influences on the arising from stimulation at different times of day by treating and testing equal numbers of animals from each stimulation or sham group in each stimulation session.

Analgesia was assessed using the wet tail flick method described in Mitchell, D. and R.F. Hellon, "Neuronal and behavioral response in rats during noxious stimulation of the tail," Proc. Royal Soc. (Lond.) 169-194 (1977). Immediately preceding and following electrostimulation, the terminal one inch of each rat's tail was immersed in water at 50°C. The time in seconds measured with a stopwatch from submergence to the first flicking response was taken as the tail flick latency. If a rat did not respond within 20 seconds, its tail was removed from the water to prevent tissue damage. The baseline (pre-stimulation) tail flick latency established by using a 50°C water bath was  $6.39 \pm 0.07$  seconds ( $n = 292$ ). Four successive latencies were determined during both the pre- and post-test; in each

case, the first measurement was discarded and the last three were averaged to yield pre- and post-stimulation scores. Each rat's analgesia rating was its mean post-test latency minus its mean pre-test latency. Results may be summarized as follows.

One-way analysis of variance indicates a significant effect of amplitude on change in tail flick latency (measured at 30 mins. of 10 Hz, 0.1 msec pulse width TE at 0, 5, 7.5, 10, 12.5, 15, and 20  $\mu$ A),  $F(6,161) = 2.32$ ,  $p < 0.02$ , according to Dunnett's Test for multiple comparisons with a single control group.

The results of the experiment varying the duration of electrostimulation indicate that thirty minutes (as compared 1, 10, 20, 40, 50 and 60 minutes with 0.1 msec, 10 Hz, 10  $\mu$ A TE) of TE resulted in the greatest increase in tail flick latency ( $1.92 \pm 0.20$  seconds). One way analysis of variance reveals a significant effect of stimulation duration on change in tail flick latency,  $F(6,63) = 3.43$ ,  $p < 0.005$ . Dunnett's test for multiple comparisons with a single control group indicated that only the group receiving 30 minutes of TE differed significantly from the sham treated controls,  $p < 0.05$ . The group receiving 50 minutes of stimulation closely approached a significant difference from controls,  $p < 0.10$ .

Upon measuring the duration of analgesia, it was found that the electrostimulated rats show greater latency increases from pretest than sham treated controls at all times tested (0, 40, 80, 120, 160 and 200 mins. after 30 minutes of sham or 0.1 msec, 10 Hz, 10  $\mu$ A TE) reaching a peak 40 minutes after the end of stimulation. Two way analysis of variance with one repeated measures variable reveals a significant effect of TE (stimulation vs. sham),  $F(1,22) = 32.30$ ,  $p < 0.01$ , and a significant,  $F(5,110) = 2.32$  (ns), indicating that differences between treated and sham groups did not change significantly over 200 minutes. Post hoc comparisons using Tukey's HSD test reveal significant differ-

ences between stimulated and sham groups at every time interval. At 120 and 160 minutes post stimulation, the difference between groups was significant at the  $p < 0.05$  level. At all other intervals, the difference between groups was significant at the  $p < 0.01$  level. The TE treated animals had significantly higher latency increases over pretest at 40 minutes,  $p < 0.05$ , than at 80, 120, 160 or 200 minutes. Latency increases were significantly higher,  $p < 0.05$ , immediately following TE than at 120, 160 or 200 minutes after stimulation.

The effects of repeated daily electrostimulation were investigated by analysis of variance with one repeated measured variable, which revealed a highly significant effect of TE (stimulation vs. sham),  $F(1,28) = 94.29$ ,  $p < 0.001$ , between rats receiving five daily 30 min. sham sessions and five daily 30 minute sessions of 0.1 msec, 10 Hz, 10  $\mu$ A TE. The time effect (days one through five) was not significant,  $F(4,112) = 1.45$  (ns). The interaction effect (TE x days) was also not significant,  $F(4,112) = 1.81$  (ns), indicating that the effect of electrostimulation vs. sham did not change significantly over five days. Post hoc comparisons using Tukey's HSD test revealed significant differences,  $p < 0.05$  between stimulated and sham animals on each day except the second.

EXAMPLE 2: Effect of Pulse Width, Frequency, Polarity Charge Balance and Monolateral Stimulation

Mice were kept and subjected to the same pre-investigational regimens as described in Example 1 (N = 189). For monolateral experiments, the studs were inserted into either the right or left ear only. An essentially constant ( $\pm 2\%$ ) stimulus current was maintained by connecting the constant voltage waveform generator in series with a 200 K ohm resistor.

Experiment 1 tested the analgesic effects of different stimulus pulse widths in 63 rats not previously exposed to TE that were randomly assigned to

seven groups of 9 rats each. These groups had 30 minutes of 10  $\mu$ A, 10 Hz stimulation with, respectively, 0 (sham), 0.1, 0.5, 1, 2, 4 and 8 msec first phase pulse widths. As in all the following experiments, tail flick latencies (TFLs) were determined before and after stimulus by an operator who was blind to the stimulus parameters, and the time difference in seconds determined the animals' analgesia scores.

Experiment 2 tested the analgesic effects of different stimulus frequencies in 63 rats were randomly assigned to seven groups of 9 rats each. These groups had 30 minutes of 10  $\mu$ A, 2 msec pulse width stimulation at 0 (sham), 5, 7.5, 10.0, 15, 20, and 50 Hz.

Experiment 3 tested the necessity of using a charge balanced stimulus waveform in 27 rats randomly assigned to 3 groups of 9 rats each. These groups had 30 minutes of stimulus with, respectively, no stimulus waveform (sham); 10 Hz, 2 msec, 10  $\mu$ A stimulation with a charge balanced waveform; or 10 Hz, 2 msec, 10  $\mu$ A stimulation with a monophasic (non charge-balanced) waveform.

Experiment 4 tested the effect of stimulus polarity on the analgesia produced by TE in 15 rats tested three times in a blind, triple crossover design with 30-minute stimulus sessions. Each rat received a session of sham stimulation; one of 10 Hz, 2 msec, 10  $\mu$ A charge balanced TE with standard polarity (positive lead on the right ear); and one of 10 Hz, 2 msec, 10  $\mu$ A TE with reversed polarity (positive lead on the left ear). TFL analgesia scores in this experiment were determined in all animals before and after each session. Statistically weak results led to a repeat of the experiment using a further 12 subjects in the sample triple crossover design.

Experiment 5 investigated the efficacy of several electrode positioning schemes in 36 rats randomly assigned to three groups of 12 rats each. One group had one electrode in each ear, the other two groups had two electrodes in either the left or the right ear as

described. Each animal had 30 minutes of 10 Hz, 2 msec, 10  $\mu$ A charge balanced TE. TFL analgesia scores were determined in the usual way under blind conditions.

A one-way analysis of variance with the data from Experiment 1 indicates a significant effect for pulse width on the change in tail flick latency,  $F(6,56) = 2.283$ ,  $p < 0.05$ . According to Dunnett's test for multiple comparisons with a single control, three groups (1, 2, and 8 msec pulse widths) differed significantly from the sham group in post hoc comparison,  $p < 0.05$ . Although the 2 msec group showed the greatest overall degree of analgesia, the difference between this group and the 1 or 8 msec groups was not statistically significant.

The results of Experiment 2, varying frequency of electrostimulation, indicated that the 10 Hz group showed the greatest increase, 45%, in tail flick latency ( $2.28 \pm 0.61$  sec). A one-way analysis of variance reveals a significant effect of electrostimulation frequency on the change in tail flick latency,  $F(6,56) = 4.012$ ,  $p < 0.002$ . Post hoc comparisons using Tukey's HSD test show that only the 10 Hz group differed significantly from the sham controls,  $p < 0.05$ .

The results of Experiment 3, effect of charge balance on changes in tail flick latency, demonstrate that the greatest increase in latency ( $2.95 \pm 0.43$  sec), which represents a 49% increase in response time, occurred in the rats given charge balanced stimulation. A one-way analysis of variance shows a significant effect for both mono- and bipolar stimulation on the change in tail flick latency,  $F(2,24) = 13.703$ ,  $p < 0.001$ . Post hoc comparisons using Tukey's HSD test indicate that both stimulus groups, regardless of charge compensation, were significantly different from sham,  $p < 0.01$ . Although the biphasically stimulated group showed a TFL increase averaging 1 sec more than the monophasically stimulated group, this difference was not statistically significant.

Experiment 4 was initially conducted with a group of 15 animals, and a one-way analysis of variance indicated a significant effect of stimulation,  $F(2,28) = 4.579$ ,  $p < 0.02$ . Comparison with Tukey's HSD test revealed that the group receiving positive stimulation on the right ear had a significant increase in TFL compared to sham,  $p < 0.05$ . However, the reverse polarity group did not differ significantly with respect to either positive treatment or sham groups. The experiment was repeated with a further 12 rats. In the second experiment, one-way analysis of variance also indicated a significant effect of stimulation,  $F(2,22) = 7.546$ ,  $p < 0.003$ . Comparison with Tukey's HSD test revealed a significant difference between positive and sham groups,  $p < 0.01$ , and also between positive and reverse polarity groups,  $p < 0.05$ . Analysis of the combined results from both groups ( $n = 27$ ) indicated a highly significant difference between positive polarity and sham,  $p < 0.01$ , and between positive polarity and reverse polarity,  $p < 0.01$ . In neither of the two polarity experiments, nor in the combined results, did the reverse polarity group differ significantly from sham.

The results of Experiment 5, which tested the effect of various electrode placements, indicates a significant effect of electrode placement,  $F(2,33) = 5.945$ ,  $p < 0.01$  by one-way analysis of variance. Post hoc comparison using Tukey's HSD test indicates a significant difference with the group receiving standard electrode placement showing an increased TFL compared with either of the monolateral stimulation groups,  $p < 0.05$ .

**EXAMPLE 3: Effect of 5-HTP Administration  
and Inhibition of Enzymes  
Involved in Making Serotonin**

An experiment designed to assess the effect of concomitant administration of the precursor of the neuroactive chemical promoter serotonin, 5-HTP, and

subsensory, transcranial electrostimulation was conducted as follows. Ninety-two male Simonsen Albino rats (Simonsen Laboratories, Inc., Gilroy, CA) weighing between 200 and 250 g at the time of testing were housed in groups of six with food and water available ad lib and on a 12/12 light/dark cycle. They were handled three minutes per day for 42 days and then restrained 10 minutes for the following three days. Electrodes were implanted as described in Example 1, and electronics were as described in Example 2.

Fifteen minutes prior to stimulation, the rats were placed in adjustable cylindrical plastic restrainers. Leads were connected to the electrodes with the positive lead (that delivering a positive first phase) attached the animals' right ears. Each experiment included a sham control group consisting of rats which were implanted, restrained and connected as above, but which did not receive any electrical stimulation.

All observations were carried out by operators who were blind to the stimulus parameters and to the identity of animals receiving stimulation. Care was taken to exclude systematic influences on the data arising from stimulation at different times of day by stimulating and testing equal numbers of animals from each stimulus or sham group in every stimulation session.

The rats were pretreated with dl-p-chlorophenylalanine, methyl ester (pCPA), Sigma Chemical Co. (300 mg/kg i.p.) dissolved in 2.0 ml of saline or with saline vehicle 48 hours prior to testing, and were also treated with either 5-hydroxy-dl-tryptophan, ethyl ester, (5HTP) Sigma Chemical Co. (100 mg/kg i.p.) dissolved in 1.5 ml. of saline in a 37°C water bath or with saline vehicle 30 minutes prior to testing. At that time the rats were placed in the cylindrical plastic restrainers and the electrodes attached as described above. They then received 30 minutes of either TE or sham TE treatment (Table I).



## Treatment Groups:

TABLE I. Rats were assigned to the following

TE		SHAM	
GROUP TREATMENT		GROUP TREATMENT	
A	pCPA + 5-HTP	E	pCPA + 5-HTP
B	pCPA + Saline	F	pCPA + Saline
C	Saline + 5-HTP	G	Saline + 5-HTP
D	Saline + Saline	H	Saline + Saline

Analgesia was assessed using a pressure technique modified from that described by Randall, L.O. and J.J. Selitto, III Arch. Int. Pharmacodyn. 409-419 (1957). Each rat's tail was subjected to pressure (1 inch from the tip) exerted by a metal wedge mounted on the end of a syringe which was pneumatically driven. The amount of pressure withstood by the rat was read from a mercury manometer as the rat made the first coordinated motor response to remove its tail. One experimenter operated the wedge and cut off air pressure when the rat responded as the other experimenters read the height reached by the mercury on the manometer. This pressure reading averaged over four trials was taken as the mean tolerated peak pressure (TPP). The difference in mean TPP, before and after TE, was taken as a measure of analgesia. Pressures values are expressed as mm of mercury (Hg)  $\pm$  standard error.

TE treatment resulted in increased tolerated peak tail pressure. Considering all TE treated groups (Groups A - D, Table I), the average tolerated peak tail pressure was 18.2 mm Hg or 613 percent higher than in the sham treated groups collectively ( $p < .001$ , Figs. 1,2). The saline-TE treated group (Group D, Table I) tolerated an average of 29 mm Hg more peak pressure than did the saline-sham TE group (Group H, Table I). Treatment with pCPA diminished TE induced analgesia.

## Treatment Groups:

TABLE I. Rats were assigned to the following

TE		SHAM	
GROUP TREATMENT		GROUP TREATMENT	
A	pCPA + 5-HTP	E	pCPA + 5-HTP
B	pCPA + Saline	F	pCPA + Saline
C	Saline + 5-HTP	G	Saline + 5-HTP
D	Saline + Saline	H	Saline + Saline

Analgesia was assessed using a pressure technique modified from that described by Randall, L.O. and J.J. Selitto, III Arch. Int. Pharmacodyn. 409-419 (1957). Each rat's tail was subjected to pressure (1 inch from the tip) exerted by a metal wedge mounted on the end of a syringe which was pneumatically driven. The amount of pressure withstood by the rat was read from a mercury manometer as the rat made the first coordinated motor response to remove its tail. One experimenter operated the wedge and cut off air pressure when the rat responded as the other experimenters read the height reached by the mercury on the manometer. This pressure reading averaged over four trials was taken as the mean tolerated peak pressure (TPP). The difference in mean TPP, before and after TE, was taken as a measure of analgesia. Pressures values are expressed as mm of mercury (Hg)  $\pm$  standard error.

TE treatment resulted in increased tolerated peak tail pressure. Considering all TE treated groups (Groups A - D, Table I), the average tolerated peak tail pressure was 18.2 mm Hg or 613 percent higher than in the sham treated groups collectively ( $p < .001$ , Figs. 1,2). The saline-TE treated group (Group D, Table I) tolerated an average of 29 mm Hg more peak pressure than did the saline-sham TE group (Group H, Table I). Treatment with pCPA diminished TE induced analgesia.

While saline-TE treated rats (Group D, Table I) tolerated an average of  $29.3 \pm 4.0$  mm Hg, pCPA-TE treated rats (Group B, Table I) tolerated only  $2.5 \pm 1.0$  mm Hg, a decrease of 91.5 percent ( $p < .001$ , Fig. 1).

Further treatment of pCPA treated rats with 5HTP resulted in saline control values of TE induced analgesia (Fig. 2). Neither the values of the pCPA-5HTP treated rats ( $25.4 \pm 1.7$  mm Hg; Group A, Table I) nor those of the saline-5HTP treated rats ( $29.8 \pm 2.1$ ; Group C, Table I) differed significantly from the TPP values of the saline-TE treated rats ( $29.3 \pm 4.0$ ; Group D, Table I). Among the sham treated groups, the pCPA-saline (Group F, Table I) and pCPA-5HTP (Group E, Table I) group values were low compared with the saline-saline (Group H, Table I) group values, but the difference fell short of statistical significance.

#### EXAMPLE 4: Combined Effects of Transcranial

Electrostimulation and i.c.v. Thiorphan

An experiment was conducted in which the combined effect of TE and the enkephalinase inhibitor thiorphan was assessed on analgesia. The subjects were 20 male Sprague-Dawley rats, weighing 200-225 grams. Subjects were maintained on ad lib food and water and a 12 hour light/dark cycle. Seven days prior to the experiment, each rat was placed under Innovar (tm) anesthesia, implanted with gold-plated stainless steel electrodes through the apex of the anti-helix of each pinna as described in Example 2, and stereotaxically cannulated in the third ventricle. Each cannula placement was subsequently confirmed by dye injection and histological examination. On the two days preceding the experiment, each rat was habituated to a cylindrical plastic restrainer.

Each rat was placed in the restrainer and pretested for nociceptive sensitivity by measurement of tail flick latency on the 50°C wet tail flick test (average of three trials). The ear electrodes of each rat were then

connected to the stimulator through leads with a 200 K ohm resistor in series. Ten rats then received 30 minutes of TE stimulation (10 Hz, 10  $\mu$ A, 2 msec pulse width), while ten rats (the "sham stimulation group") received no stimulation.

Beginning concurrently with TE or sham stimulation, five rats from each group then received 250  $\mu$ g thiorphan i.c.v. (Peninsula Laboratories) in saline with 3% ethanol. A motor driven syringe delivered a gradual infusion of 5  $\mu$ l/min of 2.5 mg/ml thiorphan solution over a 20 minute period. Five rats from each group were infused only with the injection vehicle of 3% ethanol in saline. Immediately following drug and electro-stimulation treatment, all rats were retested under "blind" conditions for tail flick latency. Each animal's analgesia score was the percentage increase in tail flick latency from pretest to posttest. These scores were analyzed by two-way analysis of variance. The group receiving combined thiorphan and TE treatment was compared with all other groups according to Dunnett's Procedure for post-hoc comparison of a single treatment group with all others.

The results are shown in Fig. 3. ANOVA indicated a significant electrostimulation effect (TE vs. sham),  $F(1,16) = 9.29$ ,  $p < .01$ , and a significant drug effect (thiorphan vs. vehicle),  $F(1,16) = 29.54$ ,  $p < .01$ . The group receiving both TE and thiorphan had a significantly greater percentage increase in tail flick latency than any of the other groups,  $p < .01$ , according to Dunnett's Procedure.

EXAMPLE 5: Combined Effects of TE and  
i.p. Acetorphan - Analgesia

An experiment similar to that described in Example 4 was conducted with the systemically active enkephalinase inhibitor acetorphan. The subjects were 84 male Sprague-Dawley rats weighing 180-220 grams. Each rat was implanted with ear electrodes under halo-

thane anesthesia and subsequently habituated and pre-tested for tail flick latency as in Example 4. Forty-two rats then received i.p. injections of 15 mg/kg acutorphan (donated by J.C. Schwartz, INSERM, Paris) in a vehicle of ethanol (10%)/cremophor EL (10%)/saline (80%). Forty-two rats received the injection vehicle alone. Beginning five minutes after injection, half of the acutorphan recipients and half of the vehicle recipients received 30 min. of TE as in Example 4. The remaining half of each group received 30 min. of sham stimulation only. All rats were then immediately retested under "blind" conditions for tail flick latency and their analgesia scores were expressed as percentage increase from pretest to posttest latencies. These scores were analyzed by the same procedures employed in Example 4.

The results are shown in Fig. 4. ANOVA indicated a significant electrostimulation effect (TE vs. sham),  $F(1,80) = 13.75$ ,  $p < .01$ , and a significant drug effect (acutorphan vs. vehicle),  $F(1,80) = 31.93$ ,  $p < .01$ . The group receiving both TE and acutorphan had a significantly greater percentage increase in tail flick latency than any of the other groups,  $p < .01$ , according to Dunnett's Procedure.

EXAMPLE 6: Combined Effect of TE and  
L-tryptophan - Analgesia

Another experiment was conducted in which the neuroactive chemical promoter L-tryptophan, the amino acid precursor of serotonin, was administered concomitantly with transcranial electrostimulation as follows. The subjects were 40 male Sprague-Dawley rats, weighing 200-250 grams. Subjects were maintained on ad lib food and water and a 12 hour light/dark cycle. Four days prior to the experiment, each rat was placed under halothane anesthesia and implanted with gold-plated stainless steel electrodes through the apex of the anti-helix of each pinna as described in Example 2. On

the three days preceding the experiment, each rat was habituated to a cylindrical plastic restrainer.

Each was placed in a restrainer and pretested for nociceptive sensitivity by measurement of tail flick latency on the 50°C wet tail flick test (average of three trials). Each rat was then injected i.p. either with 200 mg/kg L-tryptophan in a vehicle of isotonic saline (95%)/2N HCL (5%) or with vehicle alone. This dose was selected on the basis of small pilot experiments. Beginning 40 minutes after injection, each rat was placed again in a restrainer, and the ear electrodes of each rat were connected to the stimulator through leads with a 200 K ohm resistor in series. Twenty rats then received 30 minutes of TE stimulation (10 Hz, 10  $\mu$ A, 2 msec pulse width), while twenty rats (the "sham stimulation group") received no stimulation. A 2x2 factorial design was employed so that ten rats received L-tryptophan and TE, ten rats received L-tryptophan and sham stimulation, ten rats received vehicle only and TE and ten rats received vehicle only and sham stimulation.

Immediately following TE or sham stimulation, all rats were retested under "blind" conditions for tail flick latency. Each animal's analgesia score was the percentage increase in tail flick latency from pretest to posttest. The results are set out in Table 2.

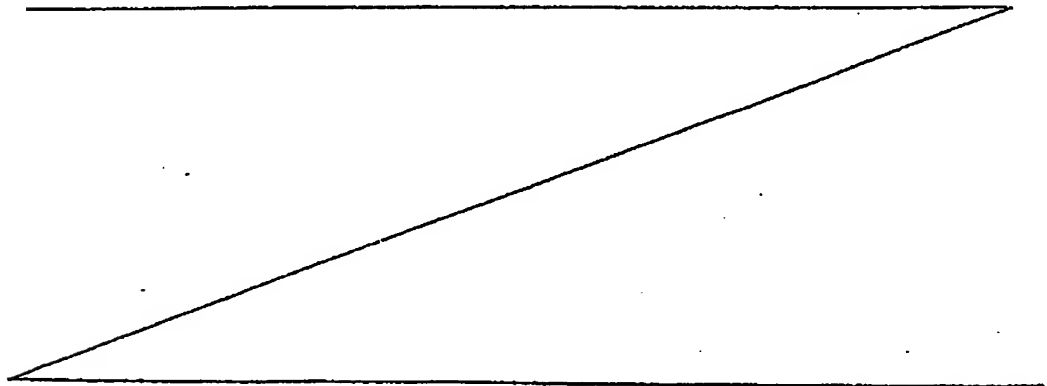


TABLE 2  
COMBINED AND SEPARATE ANALGESIC EFFECTS OF TE AND  
L-TRYPTOPHAN: ANALGESIA SCORES (PER CENT CHANGE  
PRETEST TO POSTTEST) (MEAN  $\pm$  SEM)

	TE	SHAM STIMULATION
L-TRYP i.p.	65.4 $\pm$ 16.6 *	-2.1 $\pm$ 4.2
VEHICLE i.p.	33.2 $\pm$ 9.8	-5.0 $\pm$ 7.5

\*p < .05 vs. all other groups (Dunnett's Test)

Two-way analysis of variance indicated a significant electrostimulation effect (TE vs. sham),  $F(1,36) = 25.42$ ,  $p < .01$ , and a significant drug effect (L-tryptophan vs. vehicle),  $F(1,36) = 3.91$ ,  $p < .05$ . The group receiving both TE and L-tryptophan had a significantly greater percentage increase in tail flick latency than any of the other groups,  $p < .05$ , according to Dunnett's Procedure for post-hoc comparison of a single treatment group with all others.

EXAMPLE 7: Combined Effects of TE with D-phenylalanine and Tyrosine - Analgesia

The experiment described in Example 6 is repeated, substituting the amino acid D-phenylalanine or the amino acid D-tyrosine for the neuroactive chemical promoter L-tryptophan. A dosage of about 100 to about 500 mg of the amino acid per kg rat weight i.p. in saline is employed.

EXAMPLE 8: Combined Effects of TE with  
L-tryptophan and D-phenylalanine  
- Morphine Abstinence Syndrome

An experiment in which the efficacy of the neuroactive chemical promoters D-phenylalanine and L-tryptophan in ameliorating the withdrawal symptoms of morphine addiction is conducted as follows. Rats are maintained as set out in Example 1 and electrodes are

implanted and electronics set up as described in Example 2. However, while under anesthesia, the rats are implanted subcutaneously in the scapular region with Alzet (tm) osmotic minipumps filled with 20 mg/ml morphine sulfate dissolved in saline or with saline vehicle alone. The morphine-treated rats are rendered dependent by continuous infusion of 0.93 mg/kg/hr morphine sulfate for seven days. Abrupt abstinence is induced by removing the pumps under halothane anesthesia.

Twenty-four hours after pump removal, each rat receives 30 minutes of either sham stimulation as described in Example 1, electrostimulation as described in Example 2, or either L-tryptophan at the dosage level set out in Example 6 or D-phenylalanine at the dosage level set out in Example 7. Immediately after those treatments, the rats are placed in a clear plastic observation chamber with a grid floor and observed for 15 minutes under blind conditions on a standard checklist of opiate abstinence signs (wet dog shakes, genital licks, hind foot scratches, abdominal writhes, ptosis, dyspnea, diarrhea, and teeth chattering) as primarily based on Gianutsos, G., et al., "The Narcotic Withdrawal Syndrome in the Rat", in S. Ehrenpreis and A. Neidle (Eds.), Methods in Narcotic Research, New York: Marcel Dekker, pp. 293-310 (1975).

EXAMPLE 9: Combined Effects of TE and Acetorphan -  
Morphine Abstinence Syndrome

The experiment described in Example 8 is repeated either substituting the enkephalinase inhibitor acetorphan for the neuroactive chemical promoters L-tryptophan and D-phenylalanine or administering acetorphan in addition to those two amino acids. The same dosage regimen and vehicle described in Example 5 is employed.



EXAMPLE 10: Combined Effects of TE and  
Kelatorphin - Analgesia

The experiment described in Example 5 is repeated substituting the enkephalinase inhibitor kelatorphin for the neuroactive chemical promoter acetorphan. Kelatorphin is effective when given orally, consequently, the only change in the method set out in Example 5 is that the neuroactive chemical promoter is given orally in a suitable vehicle at a dosage of between approximately 25 and approximately 200 mg/kg body weight.

EXAMPLE 11: Separate and Combined Effects of  
Transcranial Electrostimulation and  
Acetorphan with L-tryptophan,  
D-phenylalanine and B Complex  
Vitamins

Analgesia can also be efficaciously induced with combinations of one or more neuractive chemical promoters and in combination with other substances. Using the protocols set out in Examples 3-5, humans are tested for the analgesic effect of such combinations as acetorphan and L-tryptophan, acetorphan and D-phenylalanine, acetorphan with L-tryptophan and a B vitamin such as niacinamide or vitamin B<sub>6</sub>, acetorphan with D-phenylalanine and a B vitamin such as niacinamide or B<sub>12</sub> and so on, all concomitantly with TE. Dosage levels of the neuractive chemical promoters are as follows:

L-tryptophan	about 50 mg, twice a day for a person weighing 75 kg
D-phenylalanine	between about 25 mg and about 125 mg given in two approximately equal doses per day for a person weighing 75 kg
acetorphan	about 1.6 mg per day for a person weighing 75 kg.

Dosage levels of B vitamin(s) are on the order of 10 - 20 mg/kg body weight.

EXAMPLE 12: Combined Effects of Extended TE  
with Proglumide - Morphine  
Abstinence Syndrome

An experiment was performed to determine the separate and combined effects of TE and proglumide in rats addicted to morphine. Proglumide is an antagonist of the peptide neurohormone, cholecystokinin (CCK). CCK has been implicated as a contributing factor to narcotic tolerance and dependence. As an endogenous opioid antagonist, CCK might be expected to interfere with the actions of endorphins released by TE. Therefore, proglumide, by antagonizing CCK, might be expected to potentiate the effects of TE.

Thirty-two rats were rendered dependent by seven days subcutaneous infusion of 0.93 mg/kg/hr morphine sulfate via Alzet (tm) osmotic minipump as described in Example 9. The pumps were removed under light halothane anesthesia, initiating drug withdrawal. Twenty-four hours after pump removal, each animal was injected i.p. with either 10 mg/kg proglumide in saline or with saline alone and was given TE stimulation (10 Hz, 10  $\mu$ A, 30 min.) or sham treatment as described in Example 2. Thus there were four groups: TE and proglumide, TE and saline, sham stimulation and proglumide, and sham stimulation alone. Each rat was then observed for 15 minutes on a standard checklist of opiate abstinence signs (wet-dog shakes, writhes, teeth chatter, ptosis etc.), again as described in Example 9.

The results are shown in Fig. 5. The group receiving both TE and proglumide (extreme right on the graph) had significantly fewer abstinence signs than any other group according to Dunnett's test for multiple comparisons with a single group.

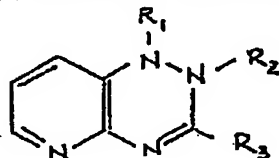
EXAMPLE 13: Combined Effects of Extended TE  
with Proglumide - Morphine  
Abstinence Syndrome

The experiment described in Example 12 may be extended wherein the drug-TE combination is given

repeatedly at regular intervals over a 24 hour period. TE is given every three hours with the same parameters as set out in Example 12, and proglumide is administered as described in Example 12 just prior to each TE administration. The drug-TE combination is expected to produce fewer abstinence signs in the experimental subjects than would proglumide or TE given alone.

**EXAMPLE 14: Combined Effects of TE and Pyrido [2,3-e]-as-Triazin Derivatives and Pharmaceutical Preparations**

Analgesia, sedation, narcosis potentiation, tetrabenzine antagonism and anti-phlogistic effects can be efficaciously induced with combined administration of TE as set out in examples 3-6 and pyrido[2,3-e]-as-triazine (PAT) derivatives of the following general formula wherein:



$R^1$  and  $R^2$  each stand for a  $C_{1-20}$ alkylcarbonyl, halogenated ( $C_{1-4}$ alkyl)-carbonyl,  $C_{1-4}$ alkoxycarbonyl, benzoyl, phenyl-( $C_{1-4}$ alkyl)-carbonyl or phenyl-( $C_{2-4}$ alkenyl)-carbonyl group or a 5-10-membered mono- or bicyclic nitrogen-containing heterocyclic acid residue (preferably a pyridylcarbonyl group) containing optionally one or more additional nitrogen, oxygen and/or sulfur atoms in the heterocyclic ring, and optionally one or more identical or different substituents selected from the group consisting of halogen,  $C_{1-4}$ alkoxy, nitro and hydroxy are attached to the aromatic or heterocyclic rings, furthermore one of  $R^1$  and  $R^2$  may also stand for hydrogen atom, or

$R^1$  and  $R^2$  may form, together with the adjacent nitrogen atoms, a pyrazole-2,4 ring having optionally a  $C_{1-6}$  alkyl substituent in position 3, and

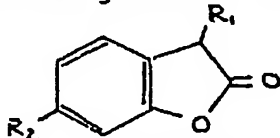
$R^3$  stands for hydrogen, halogen,  $C_{1-4}$  alkoxy, amino, mono- $(C_{1-6}$  alkyl)-amino, di- $(C_{1-6}$  alkyl)-amino, hydroxy, alkylated or acylated hydroxy, morpholino, piperazino, N- $(C_{1-6}$  alkyl)-piperazino, N-benzylpiperazino or N-pyridylpiperazino group.

Pharmaceutically acceptable acid addition salts of PAT are prepared by acylating the respective 1,2 unsubstituted 1,2 dihydro-PAT derivatives. These substances are described in U.S. Patent 4,324,786, incorporated herein by this specific reference to that patent.

The pharmaceutical preparations are for enteral or parental administration to warm-blooded animal(s) and contain the pharmacologically active ingredient alone or together with a pharmaceutically acceptable carrier. The dosage of the active ingredient depends on age, individual condition, method of administration and body weight. In normal patients, the estimated approximate dose in the case of oral administration is from 200 to 500 mg/kg of body weight.

EXAMPLE 15: Combined Effects of TE and Heteramino Benzofurans

Analgesia and anti-inflammatory effects can be efficaciously induced with combined administration of TE as set out in Examples 3-6 and heteramino benzofuran derivatives of the general formula



in which  $R_1$  represents hydrogen or an aliphatic radical,  $R_2$  represents an amino group di-substituted by a divalent hydrocarbon

radical, and the aromatic ring A may be additionally substituted, and their salts and/or isomers.

These substances are described in U.S. Patent 4,451,462, which patent is incorporated herein by this specific reference thereto.

The pharmaceutical preparations are for enteral or parental administration to warm-blooded animal(s) and contain the pharmacologically active ingredient alone or together with a pharmaceutically acceptable carrier. The dosage of the active ingredient depends on age, individual condition, method of administration and body weight. In normal humans, the estimated approximate dose in the case of oral administration is from 2 to 10 mg/kg of body weight.

EXAMPLE 16: Combined Effects of TE  
and Isochromans

Antipsychotic and hypotensive effects can be efficaciously induced with combined administration of TE and amino derivatives of isochromans of the general formula given in U.S. Patent 4,487,774, incorporated herein by this specific reference to that patent.

The pharmaceutical preparations are for enteral or parental administration to warm-blooded animal(s) and contain the pharmacologically active ingredient alone or together with a pharmaceutically acceptable carrier. The dosage of the active ingredient depends on age, individual condition, method of administration and body weight. In normal humans, the estimated approximate dose in the case of oral administration is from 2 to 10 mg/kg of body weight.

EXAMPLE 17: Combined Effects of TE with  
L-Tryptophan and Acetorphan -  
Analgesia

The combined effects of administration of TE together with both the amino acid L-tryptophan and the enkephalinase inhibitor acetorphan are assessed as follows. Rats are maintained in accordance with the

protocols set out in Example 3, and tested for the combined effects of TE and these neuroactive chemical promoters using the tail flick latency model described in that same example. TE is given as set out in Example 4 and acutorphan dosages are the same as set out in Example 4. In addition, L-tryptophan is administered to the rats in the dosages described in Example 5. It is expected that the results seen in both Examples 4 and 5 as described above will be even more dramatic when both neuroactive chemical promoters are administered concurrently with TE.

EXAMPLE 18: Effect of TE on Analgesia

The 80 subjects participating in this study all had intractable low back pain. This type of pain limits musculo-skeletal output, and is therefore subject to a more objective measurement of the analgesic measures which may be applied. An isokinetic, automated exercise device (Kin-Com<sup>tm</sup>) was used to test the subjects' ability to output musculo-skeletal torque before and after administration of TE. Because of the varying nature of each subject's pain, the ability to trigger pain in each subject, each subject's idiosyncratic use of drugs, and each subject's differing physical condition, an experimental design was implemented in which each subject served as his own control.

Volunteering subjects were randomized into two matched groups. Each subject participated in a 2 x 2 cross-over design in which he received two randomly assigned analgesic treatments and two sham treatments (placebos). The analgesic treatment was application of low current, transcranial electrostimulation therapy (TE).

Subjects were tested on the Kin-Com every fourth day. The tests were performed at two different angular velocities, 15 and 30 degrees/sec. Each subject was challenged in eccentric (muscle relaxing) and concentric (muscles contracting) modes. These tests were conducted pre- and post-TE. Results showed significant

differences between treatment days and significant differences in two of four days between treatment and sham administration of TE.

EXAMPLE 19: Effect of TE to Relieve Nicotine  
Withdrawal Systems - Smoking  
Cessation

Transcranial electrostimulation (TE) has been applied in double blind clinical trials to long term smokers (at least one pack per day) who indicated they wished to quit smoking. Three different protocols were investigated--one treatment per day for eight days, two treatments per day for five days, or one treatment per day combined with a behavior modification program. In the first protocol, treatments were given Monday through Friday and then the following Monday through Wednesday. In the second protocol, treatments were delivered Monday through Friday. The behavior modification program used in the third protocol was applied in the recognition that TE served to assist in overcoming short term withdrawal symptoms, but that psychological craving required address by behavior modification to induce long term support against recidivism.

The subjects selected for participation in the study smoked at least one pack of cigarettes per day for at least five years, were between the ages of 18 and 55, were not pregnant, had no known threatening medical conditions, had tested negative for cannabinoids and other confounding drugs. At a pre-study orientation, the subjects supplied information on the base cigarette count and brand of cigarette smoked and were prospectively randomized into two groups--treatment and placebo.

TE consisted of low current (less than 40  $\mu$ A), pulsed signals delivered through electrodes attached to the earlobes. The stimulus was delivered below perception levels of the subjects. The duration of each treatment was approximately one hour.

Subjects provided a daily record of the number of cigarettes and the time that they smoked, and completed questionnaires to assess withdrawal symptoms. Saliva samples were collected at the clinic from each subject at orientation before the study began and on each day of treatment. Cotinine levels were determined using a monoclonal antibody enzyme linked immunosorbent assay (ELISA).

Additionally, the Shiffman Withdrawal Scale, Analog Craving Scale and an instrument to assess withdrawal symptoms were administered regularly throughout the study. Subjects provided urine samples at orientation and on demand during the study. These samples were assayed to detect marijuana, cocaine or other drugs whose use could confound the analysis of study results. Subjects whose drug test was positive were excluded from the study.

Sixty-seven (67) subjects participated in the trial administering one treatment per day. Of these, 40 received actual treatment and 27 received placebo (no current). The quit rates verified by salivary cotinine analysis were 55 percent in the treatment group and 22 percent in the placebo group.

Forty-one (41) subjects participated in the two treatments per day trial. Twenty-five received actual treatment and 16 received placebo. The quit rates were 68 percent and 38 percent respectively.

The subjects involved in the third protocol were randomly assigned to one of four groups: treatment + behavior modification, treatment only (behavior modification was promised after the initial experimental period), behavior modification only (treatment was promised after the initial experimental period), and placebo + behavior modification. The group which received treatment + behavior modification had a statistically significant greater quit rate than the other three groups.

Example 20: Separate and Combined Effects of



Transcranial Electrostimulation and  
Acetorphan, with L-tryptophan,  
D-phenylalanine, B complex vitamins  
and Nicotinic acid

The experiment described in Example 11 can be repeated using additional chemical promoters which assist the synthesis, inhibit the degradation, and facilitate uptake, distribution and metabolism of neuroactive substances. Nicotinic acid in the form of niacinamide (50 mg given once per day), vitamin B<sub>6</sub>, pyridoxin (50 mg given once per day) and monoamine oxidase inhibitors such as D-L-phenylalanine (500 mg given once per day), L-glutamine, or pyridoxal-5-phosphate (10 mg given once per day) assist in producing enhanced analgesic effects.

\* \* \* \* \*

Although described in terms of the above presently preferred embodiments, it will be recognized by those skilled in the art who have the benefit of this disclosure that changes can be made to the dosages, protocols, current levels, and so on as set out in those examples without departing from the spirit and scope of the present invention. All such changes are intended to fall within the scope of the following claims.

## What Is Claimed Is:

1. A method of medical treatment of a mammal comprising the concomitant administration of a neuroactive chemical promoter and transcranial electrostimulation to a mammal.

2. The method of claim 1 wherein the neuroactive chemical promoter is selected from the group comprising endorphins, indoleamines, catecholamines, amino acids present in the central nervous system, amino acids capable of inducing a central nervous system response, cholinergic agents, peptides found in the central nervous system, peptides capable of inducing a central nervous system response, precursors of same, inhibitors of the enzymes active in the metabolic pathways involved in producing or degrading same, antagonists and binding competitors of same, and combinations of same.

3. The method of claim 1 wherein the neuroactive chemical promoter is an endorphin, an enzyme active in the metabolic pathway involved in making endorphins, a tryptamine derivative, an enzyme active in the metabolic pathway involved in making tryptamine derivatives, an amino acid, a peptide found in the central nervous system, or an antagonist of a peptide found in the central nervous system.

4. The method of claim 1 wherein the neuroactive chemical promoter is selected from the group consisting of proglumide, 5-hydroxy-dl-tryptophan, acetorphan, thiorphan, or L-tryptophan.

5. The method of claim 1 wherein the current of the transcranial electrostimulation is between approximately 5 and approximately 40  $\mu$ A.

6. The method of claim 1 wherein the pulse width of the transcranial electrostimulation is between approximately 0.1 and approximately 8.0 msec.

7. The method of claim 1 wherein the frequency of the transcranial electrostimulation is between approximately 5 and approximately 50 Hz.

8. The method of claim 1 wherein the duration of the transcranial electrostimulation is between approximately 10 and approximately 60 minutes.

9. The method of claim 1 wherein the neuroactive chemical promoter is selected from the group consisting of acetorphine, kelatorphin or thiorphan.

10. The method of claim 1 additionally comprising the concomitant administration of a second neuroactive chemical promoter.

11. A kit for providing medical treatment of a mammal, which kit comprises a neuroactive chemical promoter in a form suitable for administration to a mammal and means for concomitant administration of transcranial electrostimulation to the mammal.

12. The kit of claim 11 wherein the neuroactive chemical promoter is selected from the group consisting of:

endorphins, presursors of endorphins, inhibitors of the enzymes active in the metabolic pathways involved in producing and degrading endorphins, and binding competitors and antagonists of endorphins,

amino acids present in the central nervous system or capable of inducing a central nervous system response, inhibitors of the enzymes active in the metabolic pathways involved in producing and degrading such amino acids, and antagonists and binding competitors of such amino acids,

peptides present in the central nervous system or capable of inducing a central nervous system response, enzymes active in the metabolic pathways involved in producing and degrading such peptides, inhibitors of the enzymes involved in producing and

degrading such peptides, and antagonists and binding competitors of such peptides, and

indoleamines, enzymes active in the metabolic pathways involved in producing and degrading indoleamines, precursors of indoleamines, inhibitors of the enzymes involved in producing and degrading indoleamines, and antagonists and binding competitors of indoleamines.

13. The kit of claim 11 wherein the neuroactive chemical promoter is proglumide, 5-hydroxy-dl-tryptophan, acutorphan, thiorphan, L-tryptophan or combinations of proglumide, 5-hydroxyl-dl-tryptophan, acutorphan, thiorphan, and L-tryptophan.

14. The method of using a neuroactive chemical promoter for the manufacture of a medicament for administration to a mammal to which transcranial electrostimulation is concomitantly administered.

15. The method of claim 13 wherein the neuroactive chemical promoter is selected from the group comprising endorphins, indoleamines, catecholamines, amino acids present in the central nervous system, amino acids capable of inducing a central nervous system response, cholinergic agents, peptides found in the central nervous system, peptides capable of inducing a central nervous system response, precursors of same, inhibitors of the enzymes active in the metabolic pathways involved in producing or degrading same, antagonists and binding competitors of same, and combinations of same.

16. A pharmaceutical composition for providing medical treatment of a mammal in combination with transcranial electrostimulation, comprising as an active ingredient a neuroactive chemical promoter.

17. The composition of claim 15 wherein the neuroactive chemical promoter is selected from the group comprising endorphins, indoleamines, catecholamines, amino acids present in the central nervous system, amino acids capable of inducing a central nervous system

response, cholinergic agents, peptides found in the central nervous system, peptides capable of inducing a central nervous system response, precursors of same, inhibitors of the enzymes active in the metabolic pathways involved in producing or degrading same, antagonists and binding competitors of same, and combinations of same.

## AMENDED CLAIMS

[received by the International Bureau  
on 14 January 1991 (14.01.91);

original claims 2,3,4,7,9,12,13,15 and 17 amended;  
new claims 18-20 added; other claims unchanged (4 pages)]

1. A method of medical treatment of a mammal comprising the concomitant administration of a neuroactive chemical promoter and transcranial electrostimulation to a mammal.
2. The method of claim 1 wherein the neuroactive chemical promoter is an indoleamine, catecholamine, amino acid present in the central nervous system, amino acid capable of inducing a central nervous system response, cholinergic agent, peptide capable of inducing a central nervous system response, or a precursor, antagonist, or binding competitor of a neurotransmitter, inhibitor of an enzyme active in a metabolic pathway involved in producing or degrading same, and combinations of same.
3. The method of claim 1 wherein the neuroactive chemical promoter is an enzyme active in the metabolic pathway of peptidyl opiates, a tryptamine derivative, an enzyme active in the metabolic pathway of tryptamine derivatives, an amino acid, a peptide which is a modulator of the central nervous system, or a precursor, binding competitor, or antagonist of a peptide which is a modulator of the central nervous system.
4. The method of claim 1 wherein the neuroactive chemical promoter is selected from the group consisting of proglumide, 5-hydroxy-dl-tryptophan, acetorphan, thiorphan, or L-tryptophan.
5. The method of claim 1 wherein the current of the transcranial electrostimulation is between approximately 5 and approximately 40  $\mu$ A.
6. The method of claim 1 wherein the pulse width of the transcranial electrostimulation is between approximately 0.1 and approximately 8.0 msec.
7. The method of claim 1 wherein the frequency of the transcranial electrostimulation is between approximately 5 and approximately 50 Hz.

8. The method of claim 1 wherein the duration of the transcranial electrostimulation is between approximately 10 and approximately 60 minutes.

9. The method of claim 1 wherein the neuroactive chemical promoter is selected from the group consisting of acetorphan, kelatorphin or thiorphan.

10. The method of claim 1 additionally comprising the concomitant administration of a second neuroactive chemical promoter.

11. A kit for providing medical treatment of a mammal, which kit comprises a neuroactive chemical promoter in a form suitable for administration to a mammal and means for concomitant administration of transcranial electrostimulation to the mammal.

12. A kit according to claim 11, wherein the neuroactive chemical promoter is selected from the group consisting of:

precursors of endorphins, inhibitors of an enzyme active in a metabolic pathway involved in producing or degrading endorphins, and binding competitors and antagonists of endorphins,

amino acids present in the central nervous system or capable of inducing a central nervous system response, inhibitors of an enzyme active in a metabolic pathway involved in producing or degrading such amino acids, and antagonists and binding competitors of such amino acids,

peptides capable of inducing a central nervous system response, enzymes active in a metabolic pathway involved in producing or degrading such peptides, inhibitors of an enzyme involved in producing or degrading such peptides, and antagonists and binding competitors of such peptides, and

indoleamines, enzymes active in a metabolic pathway involved in producing or degrading indoleamines, precursors of indoleamines, inhibitors of an enzyme involved in producing or degrading

indoleamines, and antagonists and binding competitors of indoleamines.

13. A kit according to claim 11, wherein the neuroactive chemical promoter is proglumide, 5-hydroxy-dl-tryptophan, acetorphan, thiorphan, L-tryptophan or combinations of proglumide, 5-hydroxyl-dl-tryptophan, acetorphan, thiorphan, and L-tryptophan.

14. The use of a neuroactive chemical promoter for the manufacture of a medicament for medical treatment of a mammal with concomitant administration of transcranial electrostimulation.

15. Use according to claim 14, wherein the neuroactive chemical promoter is selected from the group comprising indoleamines, catecholamines, amino acids present in the central nervous system, amino acids capable of inducing a central nervous system response, cholinergic agents, peptides capable of inducing a central nervous system response, or precursors, antagonists, or binding competitors of a neurotransmitter, or inhibitors of an enzyme active in a metabolic pathway involved in producing or degrading same, and combinations of same.

16. A pharmaceutical composition for providing medical treatment of a mammal in combination with transcranial electrostimulation, comprising as an active ingredient a neuroactive chemical promoter.

17. A composition according to claim 16, wherein the neuroactive chemical promoter is selected from the group comprising indoleamines, catecholamines, amino acids present in the central nervous system, amino acids capable of inducing a central nervous system response, cholinergic agents, peptides capable of inducing a central nervous system response, or precursors, antagonists, or binding competitors of a neurotransmitter or inhibitors of an enzyme active in a metabolic pathway involved in producing or degrading same, and combinations of same.

18. A kit for providing medical treatment of a mammal, which kit comprises a neuroactive chemical promoter



in a form suitable for administration to a mammal and means for concomitant transcranial administration of charge-balanced, biphasic electrical current having an amplitude of from about 5 to about 40  $\mu$ A, a pulse width of from about 0.1 to about 8.0 msec, and a frequency of from about 5 to about 50 Hz.

19. A kit according to claim 18, wherein the neuroactive chemical promoter is selected from the group consisting of:

- precursors of endorphins, inhibitors of an enzyme active in a metabolic pathway involved in producing or degrading endorphins, and binding competitors and antagonists of endorphins,

- amino acids present in the central nervous system or capable of inducing a central nervous system response, inhibitors of an enzyme active in a metabolic pathway involved in producing or degrading such amino acids, and antagonists and binding competitors of such amino acids,

- peptides capable of inducing a central nervous system response, enzymes active in a metabolic pathway involved in producing or degrading such peptides, inhibitors of an enzyme involved in producing or degrading such peptides, and antagonists and binding competitors of such peptides, and

- indoleamines, enzymes active in a metabolic pathway involved in producing or degrading indoleamines, precursors of indoleamines, inhibitors of an enzyme involved in producing or degrading indoleamines, and antagonists and binding competitors of indoleamines.

20. A kit according to claim 18, wherein said kit additionally comprises a second neuroactive chemical promoter in a form suitable for administration to a mammal.

## STATEMENT UNDER ARTICLE 19

The amendments to claims 12, 15, and 17 (claims 1-10 are not addressed herein because they were not searched) are made as a result of the Applicants' discovery that the claims, as filed, listed certain substances as falling within the scope of the defined phrase "neuroactive chemical promoter" recited in independent claims 11, 14, and 16 (on which claims 12, 15, and 17 are dependent, respectively) which are ineffective for the intended purpose. Specifically, by including the peptidyl opiates referred to as endorphins in claims 12, 15, and 17, those claims were over-inclusive. The over-inclusiveness is because (1) endorphins are so rapidly digested by normal action of the enzymes present in mammals as to be rendered ineffective as a neuroactive chemical promoter if given orally and (2) techniques available for injection of endorphins into the central nervous system to avoid the action of such digestive enzymes are so invasive as to effectively eliminate this mode of administration as a practical method of treatment. Consequently, all references to these peptidyl opiates have been eliminated from the claims. Coincidentally, this amendment helps to further define over the disclosure of the Capel patent cited in the International Search Report.

Entry of the attached replacement sheets is respectfully requested.

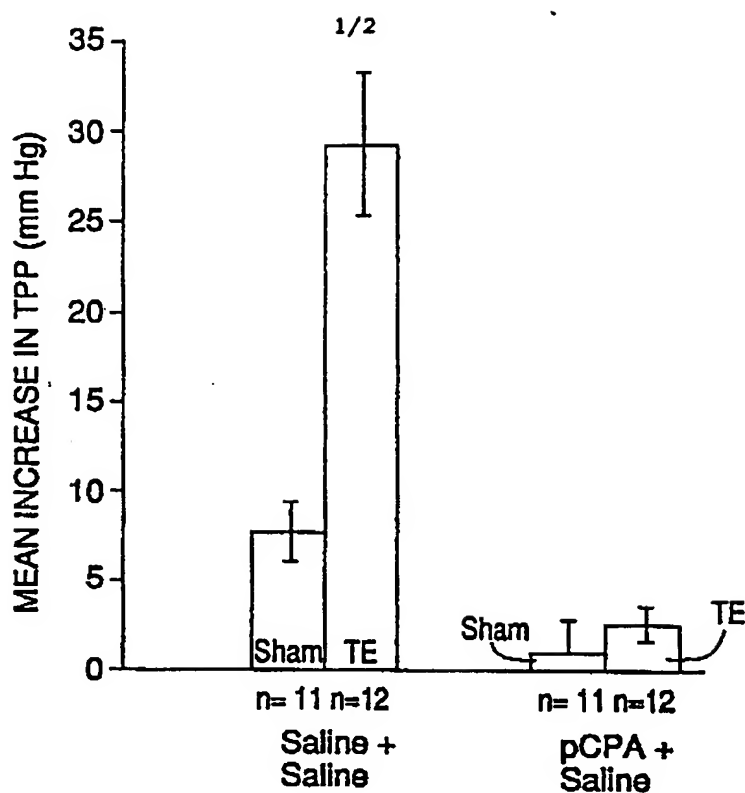


Fig. 1

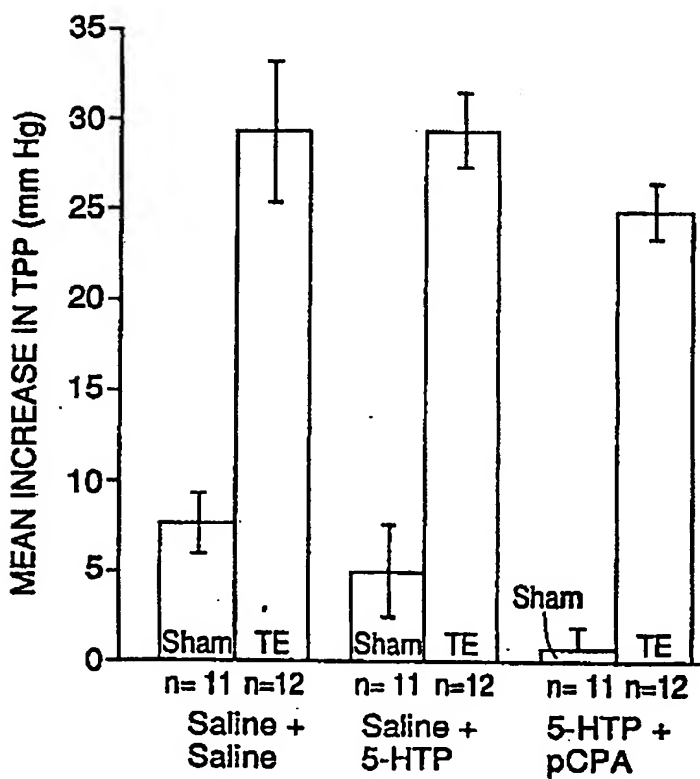


Fig. 2

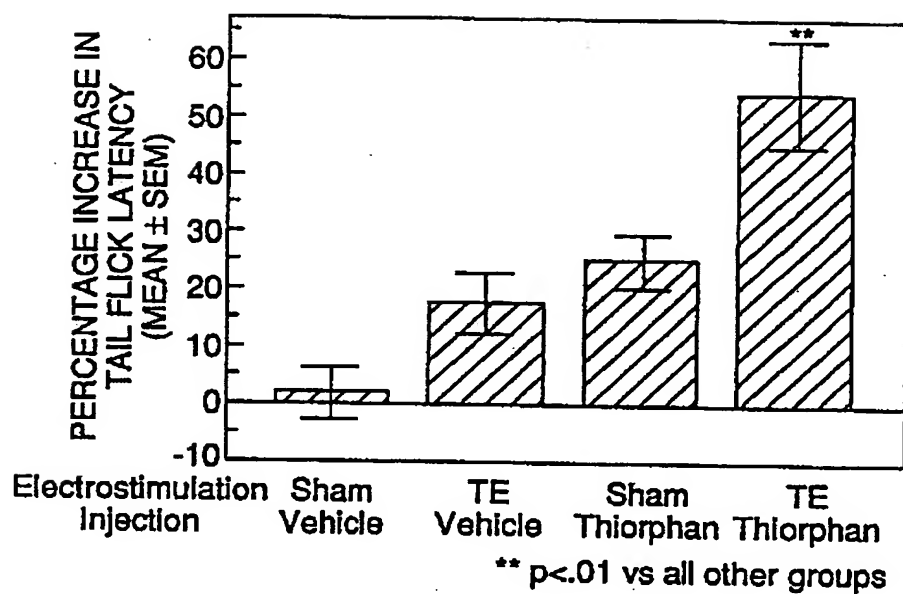


Fig. 3

# INTERNATIONAL SEARCH REPORT

International Application No PCT/US 90/04443

## I. CLASSIFICATION OF SUBJECT MATTER (If several classification symbols apply, indicate all) \*

According to International Patent Classification (IPC) or to both National Classification and IPC

IPC<sup>5</sup>: A 61 K 45/08, A 61 N 1/32

## II. FIELDS SEARCHED

Minimum Documentation Searched \*

Classification System :

Classification Symbols

IPC<sup>5</sup> : A 61 K

Documentation Searched other than Minimum Documentation  
to the Extent that such Documents are Included in the Fields Searched \*

## III. DOCUMENTS CONSIDERED TO BE RELEVANT \*

Category *	Citation of Document, ** with indication, where appropriate, of the relevant passages **	Relevant to Claim No. **
X	Chemical Abstracts, volume 110, no. 23, 5 June 1989, (Columbus, Ohio, US), D.H. Malin et al.: "Augmented analgesic effects of enkephalinase inhibitors combined with transcranial electrostimulation", see page 64, abstract 205560u & Life Sci. 1989, 44(19), 1371-6 (Eng).	11-17
P,X	Chemical Abstracts, volume 113, no. 13, (Columbus, Ohio, US), D.H. Malin et al.: "Augmented analgesic effects of L-tryptophan combined with low current transcranial electrostimulation", see page 73, abstract 10923k & Life Sci. 1990, 47(4), 263-7 (Eng).	11-17

\* Special categories of cited documents: 10

"A" document defining the general state of the art which is not considered to be of particular relevance

"E" earlier document but published on or after the international filing date

"L" document which may throw doubt on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)

"O" document referring to an oral disclosure, use, exhibition or other means

"P" document published prior to the international filing date but later than the priority date claimed

"T" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention

"X" document of particular relevance: the claimed invention cannot be considered novel or cannot be considered to involve an inventive step

"Y" document of particular relevance: the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art

"A" document member of the same patent family

## IV. CERTIFICATION

Date of the Actual Completion of the International Search  
23rd October 1990

Date of Mailing of this International Search Report

14. 11. 90

International Searching Authority

EUROPEAN PATENT OFFICE

Signature of Authorized Officer

*M. Peis*

M. PEIS

## FURTHER INFORMATION CONTINUED FROM THE SECOND SHEET

A	US, A, 4646744 (IFOR D. CAPEL) 3 March 1987 see column 7, lines 24-38 (cited in the application)  -----	11-17
---	--	-------

V. ☐ OBSERVATIONS WHERE CERTAIN CLAIMS WERE FOUND UNSEARCHABLE

This International search report has not been established in respect of certain claims under Article 17(2) (a) for the following reasons:

1. ☒ Claim numbers 1-10 because they relate to subject matter not required to be searched by this Authority, namely:  
 Pls. see Rule 39.1 (iv) - PCT:  
 Method for treatment of the human or animal body by therapy or surgery, as well as diagnostic methods.

2. ☐ Claim numbers \_\_\_\_\_, because they relate to parts of the international application that do not comply with the prescribed requirements to such an extent that no meaningful international search can be carried out, specifically:

3. ☐ Claim numbers \_\_\_\_\_, because they are dependent claims and are not drafted in accordance with the second and third sentences of PCT Rule 6.4(a).

VI. ☐ OBSERVATIONS WHERE UNITY OF INVENTION IS LACKING

This International Searching Authority found multiple inventions in this international application as follows:

1. ☐ As all required additional search fees were timely paid by the applicant, this international search report covers all searchable claims of the international application.
2. ☐ As only some of the required additional search fees were timely paid by the applicant, this international search report covers only those claims of the international application for which fees were paid, specifically claims:
3. ☐ No required additional search fees were timely paid by the applicant. Consequently, this international search report is restricted to the invention first mentioned in the claims; it is covered by claim numbers:
4. ☐ As all searchable claims could be searched without effort justifying an additional fee, the International Searching Authority did not invite payment of any additional fee.

## Remark on Protest

- ☐ The additional search fees were accompanied by applicant's protest.  
☐ No protest accompanied the payment of additional search fees.

US 9004443  
SA 39474

This annex lists the patent family members relating to the patent documents cited in the above-mentioned international search report. The members are as contained in the European Patent Office EDP file on 05/11/90. The European Patent Office is in no way liable for these particulars which are merely given for the purpose of information.

Patent document cited in search report	Publication date	Patent family member(s)	Publication date
US-A- 4646744	03-03-87	AU-B- 571723	21-04-88
		AU-A- 4603185	30-01-87
		EP-A- 0238480	30-09-87
		WO-A- 8700063	15-01-87
-----			

ALPOL FORM 00179

**For more details about this annex : see Official Journal of the European Patent Office, No. 12/82**

**This Page is Inserted by IFW Indexing and Scanning  
Operations and is not part of the Official Record.**

## **BEST AVAILABLE IMAGES**

Defective images within this document are accurate representations of the original documents submitted by the applicant.

Defects in the images include but are not limited to the items checked:

- ☐ **BLACK BORDERS**
- ☐ **IMAGE CUT OFF AT TOP, BOTTOM OR SIDES**
- ☐ **FADED TEXT OR DRAWING**
- ☐ **BLURRED OR ILLEGIBLE TEXT OR DRAWING**
- ☐ **SKEWED/SLANTED IMAGES**
- ☐ **COLOR OR BLACK AND WHITE PHOTOGRAPHS**
- ☐ **GRAY SCALE DOCUMENTS**
- ☒ **LINES OR MARKS ON ORIGINAL DOCUMENT**
- ☐ **REFERENCE(S) OR EXHIBIT(S) SUBMITTED ARE POOR QUALITY**
- ☐ **OTHER:** \_\_\_\_\_

**IMAGES ARE BEST AVAILABLE COPY.**

**As rescanning these documents will not correct the image problems checked, please do not report these problems to the IFW Image Problem Mailbox.**